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|---|----------------------|------------------------|---------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 10/036,839             |         |
|   | Filing Date          | 12/21/01               |         |
|   | First Named Inventor | Reed, Robert R.        |         |
|   | Group Art Unit       | 2684                   |         |
|   | Examiner Name        | L. Le                  |         |
| Total Number of Pages in this Submission  | 5                    | Attorney Docket Number | CS11387 |

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| ENCLOSURES   |  | (check all that apply)   |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-Related papers  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Group {Appeal Notice, Brief, Reply Brief} |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application                | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/Declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input type="checkbox"/> Status Letter with appropriate copies                             |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer   | <input type="checkbox"/> Other Enclosure(s) (please identify below)                        |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund  |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CDs   |  |
| <input type="checkbox"/> Certified Copy of Priority Documents                |  |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks  |  |
| <input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53 |  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                    |                     |                  |        |
|--------------------|---------------------|------------------|--------|
| Firm or Individual | Roland K. Bowler II | Registration No. | 33,477 |
| Signature          |                     |                  |        |
| Date               | 29 Sept. 2003       |                  |        |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date listed below:

|                       |                  |      |         |
|-----------------------|------------------|------|---------|
| Typed or printed name | Jennifer Magness |      |         |
| Signature             |                  | Date | 9/29/03 |



# FEE TRANSMITTAL

Patent fees are subject to annual revision  
Applicant claims small entity status. See 37 CFR 1.27

Complete if Known

Application Number 10/036,839  
Filing Date 12/21/01  
First Named Inventor Reed, Robert R.  
Examiner Name L. Le  
Group Art Unit 2684  
Attorney Docket No. CS11387

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TOTAL AMOUNT OF PAYMENT

(\$) 0.00

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

502117

Deposit Account Name

Motorola, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001           | 750             | 2001           | 375             | Utility filing fee     |          |
| 1002           | 330             | 2002           | 165             | Design filing fee      |          |
| 1003           | 520             | 2003           | 260             | Plant filing fee       |          |
| 1004           | 750             | 2004           | 375             | Reissue filing fee     |          |
| 1005           | 160             | 2005           | 80              | Provisional filing fee |          |

SUBTOTAL (1) (\$) 0.00

### 2. EXTRA CLAIM FEES

| Total Claims       | Previously Paid** | Extra Claims | Fee from below | Fee Paid |
|--------------------|-------------------|--------------|----------------|----------|
| Independent Claims | 20                | 3            | 18             |          |
|                    |                   |              | 84             |          |
| Multiple Dependent |                   |              | 280            |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 1202           | 18              | 2202           | 9               | Claims in excess of 20                                    |
| 1201           | 84              | 2201           | 42              | Independent claims in excess of 3                         |
| 1203           | 280             | 2203           | 140             | Multiple dependent claim, if not paid                     |
| 1204           | 84              | 2204           | 42              | * Reissue independent claims over original patent         |
| 1205           | 18              | 2205           | 9               | * Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

\*\* or number previously paid, if greater; For Reissues, see above.

### SUBMITTED BY

Name (Print/Type)

Roland K. Bowler II

Signature

Registration No.

33,477

Telephone

847-523-3978

Date

29 Sept. 2003

Complete (if applicable)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Reed Robert R.  
Application No.: 10/036,839  
Date Filed: 12/21/01  
Title: Rotatable Function Selectors in Communication Handsets and Methods Therefor

Group Art Unit: 2684  
Examiner: L. Le

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner For Patents  
Alexandria, VA 22313

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SIR:

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are for consideration by the United States Patent and Trademark Office. Pursuant to the Office waiving the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC §371 after June 30, 2003, copies of the reference are not submitted herewith.

I. COPIES

- a. A legible copy of (i) each U.S. and foreign patents; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed, is included herewith.
- b. ☐ Any patents, publications or other information which are listed on PTO/SB/08 which are not enclosed herewith were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. §120:

U.S. Serial Number

U.S. Filing Date

II. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- a. ☒ Except as may be indicated below in (b) of this section, all of the patents, publications or other information are in the English language (concise explanation not required).
- b. ☐ A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. ☐ The following additional information is provided for the Examiner's consideration:

III. ☐ CROSS REFERENCE TO RELATED APPLICATION(S)

The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this (these) applications to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. §122.

Serial No.

Filing Date

Art Unit

FEES

IV. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(b): (check one box)

- a. ☐ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. §1.97(b)(1)). No fee or statement is required.
- b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. §1.97(b)(2)). No fee or statement is required.
- c. ☐ before the mailing date of a first Office Action on the merits (37 C.F.R. §1.97(b)(3)). No fee or statement is required.
- d. ☐ before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

- V. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(c): (check one box)  
before the mailing date of any of a Final Office Action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (See 37 C.F.R. §1.97(c)).
- a. ☐ No statement; therefore, charge deposit account **502117** the fee set forth in 37 C.F.R. §1.17(p).  
b. ☐ See the statement below. No fee is required.

- VI. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(d):  
on or before payment of the issue fee and is accompanied by the following:
- 1) a statement under 37 C.F.R. §1.97(e) as provided below; and  
2) charge deposit account **502117** the petition fee set forth in §1.17(p).

- VII. ☒ STATEMENT UNDER 37 C.F.R. §1.97(e) (check only one box, if applicable)

The undersigned hereby states that

- a. ☒ each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of IDS; or  
b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and to knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement, or  
c. ☐ some of the items of information contained in the IDS were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

VIII. PAYMENT OF FEES

- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the above-identified fee(s).  
☐ Please charge Deposit Account **502117** in the amount of \$180.00 for the above-indicated fee(s).  
☒ If Applicant has overlooked any additional fees, or if any overpayment has been made, the Commissioner is hereby authorized to credit or debit Deposit Account **502117**.  
☐ Two Copies of this paper are attached for Deposit Account charges and debits.

The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. **502117**.

Respectfully submitted,  
Reed, Robert R.

Roland K. Bowler II  
Attorney for Applicant(s)  
Reg. No. 33,477  
Tel. 847-523-3978

MOTOROLA, INC.  
Customer Number 20280

Enclosures: ☒ PTO/SB/08  
☒ References  
☒ Foreign Search Report  
☐ Other:

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**